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ON THE PRONOMINAL USE OF δ αὐτός IN PLATO

By J. ELMORE

One of the questions raised by a study of Plato's use of δ αὐτός is whether in certain passages this phrase has not a purely pronominal function, standing as a pronoun of reference (with the added idea of identity) for a preceding substantive. It is clear that this usage is not in itself improbable. In English "the same," though for the most used adjectively or substantively, is often a pronoun, as in Browning, The Ring and the Book I. 1263, "He bows the head, Writes some three brief lines, signs and seals the same." This use of "the same" as a strengthened personal pronoun of reference occurs in all periods of the language and is frequent in our modern speech. Even more common in this construction is the German derselbe. "Fruchtbare Umgestaltung einer Theorie," says Steinthall, "ist nicht möglich ohne die gründlichste Kritik derselben." In Latin the usage has a double aspect. It is implied whenever idem represents a previously expressed subject with a second predicate (cf. Lane, Lat. Gram. 2371), but it appears still more clearly when the oblique cases of idem are employed for the corresponding forms of is.

According to Meader (Lat. Pron., pp. 195, 196) this latter idiom occurs as early as Cornelius Nepos. Later "it found favor with the historians, chiefly during the period of the Silver Latin." Two examples may be quoted—Tac. An. i. 23. 2: ut pars militum gladiatores qui e servitio Blaesi erant, pars ceteram eiusdem familiam vincirent; Lucan Phars. 510: O faciles dare summa deos, eademque tueri difficiles. The idiom is thus apparent in case of "the same," derselbe, and idem, and it seems not improbable that it may exist also in the case of δ a δ r δ s.

A nearer analogy is that of δ προειρημένος, which also in later authors becomes pronominal (as Polyb. i. 9. 3: γήμας δὲ τὴν θυγατέρα τοῦ προειρημένου . . . ἐξάγει στρατείαν ὡς ἐπὶ τοὺς βαρβάρους), and which illustrates the facility with which adjective [Classical Philology III, April, 1908] 184

and substantive expressions assume this character. It is in fact one of the tendencies of language in general.

In addition to these analogies is the admitted but comparatively rare and little recognized use of ὁ αὐτός to represent (like idem) a subject with a second predicate. This usage is illustrated in Callim. Epigr. 39. 2, by the αὐτή of the Codex Palatinus, in defense of which Schneider quotes several other examples, among them, Thucyd. i. 23; iii. 47; Plut. Timol. 13; Orph. Lithic. 399; Orph. Hymn. iii. 8; xiii. 3. Aside from these examples an illustration of the construction is found in Thucyd. iii. 21. 10: πύργοι ἢσαν μεγάλοι καὶ ἰσοπλατεῖς τῷ τείχει, διήκοντες ἔς τε τὸ ἔσω μέτωπον αὐτοῦ, καὶ οἱ αὐτοὶ καὶ τὸ ἔξω. In this sentence οἱ αὐτοί obviously repeats the subject in purely pronominal fashion.

The question now is, can this usage be extended to the oblique cases, so that δ advis assumes the functions virtually of a pronoun of reference. The presumptions, as we have seen, are in favor of this view, and it is further supported by the Platonic examples themselves, which may now be considered.

The first is from an unauthentic dialogue—Sis.~388a (where the writer speaks of the game of odd and even): $o\dot{v}\delta\dot{\epsilon}\nu$ $\dot{\epsilon}m\iota\sigma\tau\dot{a}\mu\epsilon\nuo\iota$ $\delta\dot{\eta}$ $\pi o\nu$ $\pi\epsilon\rho\dot{\iota}$ $\tau\hat{\omega}\nu$ $\dot{a}\rho\tau\iota\omega\nu$ $\tau\epsilon$ $\kappa a\dot{\iota}$ $\pi\epsilon\rho\iota\tau\tau\hat{\omega}\nu$, $\dot{\delta}\nu$ $\dot{\delta}\nu$ $\dot{\epsilon}\nu$ $\dot{\epsilon}\nu$ $\tau\hat{a}\hat{\iota}s$ $\tau\hat{a}\hat{\iota}s$

 $A \, pol. \, \, 24a$: ταῦτα ἔστι ὑμῖν τάληθῆ καίτοι οἶδα σχεδὸν ὅτι τοῖς αὐτοῖς ἀπεχθάνομαι .

In this passage $\tau o is$ $a \dot{v} \tau o is$ seems to fulfil all the conditions of a pronoun of identity. It means precisely the same as the preceding $\tau a \dot{v} \tau a$, to which it refers, and the context admits of no other identity than that of pronoun and antecedent. Under these conditions the pronominal relation becomes inevitable. The only alternative is to change the text, but this involves setting aside the overwhelming authority of the consensus of B and T. Even

 $^{^{1}}$ I am indebted for this reference to Professor H. W. Prescott of the University of California.

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when this radical step is taken (as in the τοις αὐτοις τούτοις of Schanz and the αὐτοις τούτοις of the Oxford edition) the change is due to a desire to introduce a pronominal meaning, which τοις αὐτοις itself supplies.

Rep. 525a: καὶ οὕτω τῶν ἀγωγῶν ἀν εἴη καὶ μεταστρεπτικῶν ἐπὶ τὴν τοῦ ὅντος θέαν ἡ περὶ τὸ ἐν μάθησις. ᾿Αλλὰ μέντοι, ἔφη, τοῦτό γ' ἔχει οὐχ ῆκιστα ἡ περὶ τὸ αὐτὸ ὄψις. ἄμα γὰρ ταὐτὸν ὡς ἔν τε ὁρῶμεν καὶ ὡς ἄπειρα τὸ πλῆθος. This is an interesting and significant passage. The text has the highest authority, being the consensus of A, the Paris MS of the ninth century, and of the Venetus Π (O) of the twelfth. As it stands, τὸ αὐτό repeats the preceding τὸ ἔν. Over against "the intellectual apprehension of the one" (to quote the words of Adam) Plato sets "the visual apprehension of the same." Disregard of this construction has led some editors on the authority mainly of Ξ and T (the latter of the fourteenth and the former of the fifteenth century) to read αὐτό. Adam in his edition retains τὸ αὐτό, saying in his note,

I formerly read αὐτό instead of τὸ αὐτό with Ξ and a few inferior MSS. αὐτό which Bekker, Schneider, and Stallbaum adopt is easier, but lacking in authority; and τὸ αὐτό is in reality more elegant. The marked antithesis between ἡ περὶ τὸ τὸ μάθησις and ἡ περὶ τὸ αὐτὸ ὄψις makes it clear that τὸ αὐτό means "the same" as that with which ἡ μάθησις was concerned (viz. τὸ τὸ, and not (as Hermann imagined) "one and the same object of vision" (like ταὐτόν presently).

Thus the pronominal usage in this passage would seem to be justified by the sound interpretation of the only MSS tradition of the text that is entitled to weight.

Tim 59c: ὅταν τις ἀναπαύσεως ἔνεκα τοὺς περὶ τῶν ὅντων ἀεὶ κατατιθέμενος λόγους, τοὺς γενέσεως πέρι διαθεώμενος εἰκότας ἀμεταμέλητον ἡδονὴν κτᾶται, μέτριον ᾶν ἐν τῷ βί φ παιδίαν καὶ φρόνιμον ποιοῖτο. ταύτη δὴ καὶ τὰ νῦν ἐφέντες τὸ μετὰ τοῦτο τῶν αὐτῶν πέρι τὰ ἐξῆς εἰκότα δίιμεν τῆδε. The τῶν αὐτῶν of the text has no variants, and it seems also clear that its function is to represent the τοὺς γενέσεως πέρι εἰκότας which goes before. "By way of recreation," says Plato, "one may find pleasure in plausible theories of becoming. Let us therefore in this spirit proceed to discuss the probabilities of the same."

Tim. 66a: διὰ ταύτας τὰς δυνάμεις δριμέα πάντα τοιαῦτα ἐλέχθη, τῶν δὲ αὐτῶν προλελεπτυσμένων μὲν ὑπὸ σηπεδόνος, εἰς δὲ τὰς στενὰς φλέβας ἐνδυομένων In this passage τῶν δὲ αὐτῶν is the reading of all the MSS without exception; it coincides in meaning with the foregoing πάντα, and there can be little doubt, I think, of its pronominal force.

Leg. 797b: φημὶ κατὰ πάσας πόλεις τὸ τῶν παιδιῶν γένος ἢγνοῆσθαι σύμπασιν ὅτι κυριώτατόν ἐστι περὶ θέσεως νόμων, ἢ μονίμους εἶναι τοὺς τεθέντας ἢ μή. ταχθὲν μὲν γὰρ αὐτὸ ἐῷ καὶ τὰ σπουδῆ κείμενα νόμιμα μένειν ἡσυχῆ, καινούμενα δὲ τὰ αὐτὰ καὶ καινοτομούμενα τούτου πόλει λώβην οὐκ εἶναι μείζω φαῖμεν ἃν ὀρθότατα λέγοντες. The general construction of this sentence is somewhat loose, but it is clear that the subject, τὸ τῶν παιδιῶν γένος, is first represented by αὐτό, and that it is then taken up by the more emphatic τὰ αὐτά. It is only on this supposition, as Stallbaum remarks, that the passage can be correctly interpreted—quo neglecto sensus loci nullo modo percipi recte potest. τὰ αὐτά is thus as pronominal as αὐτό itself.

There are other passages in which I think the same construction should be recognized, though there is the possibility of a different interpretation. One that requires a word of comment is $Tim.\ 54c$: ἐκ γὰρ ἐνὸς ἄπαντα πεφυκότα λυθέντων τε τῶν μειζόνων πολλὰ σμικρὰ ἐκ τῶν αὐτῶν συστήσεται, δεχόμενα τὰ προσήκοντα ἑαυτοῖς σχήματα.

Archer-Hind in his edition takes $\tau\hat{\omega}\nu$ $a\hat{\upsilon}\tau\hat{\omega}\nu$ to mean "from the same elements," but this can be correct only on the supposition that the smaller bodies are identical in composition with the larger ones. This can hardly be the case. The whole point of the passage is the capacity which three of the primary elements possess of being generated into one another. This generation takes place when larger bodies are dissolved and smaller ones with fewer elements are formed out of them. Stallbaum makes $\tau\hat{\omega}\nu$ $a\hat{\upsilon}\tau\hat{\omega}\nu$ refer to $\mu\epsilon\iota\zeta\acute{\varrho}\nu\omega\nu$, rendering, solutisque maioribus multa parva ex iisdem exsistent. So also Schneider—et maioribus solutis multa parva ex eisdem consistent—and Jowett—"when the greater bodies are broken up, many small bodies will spring

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out of them." If this latter interpretation be the right one, $\tau \hat{\omega} \nu$ $a \hat{\upsilon} \tau \hat{\omega} \nu$ has here also the part of a pronoun.

The use of δ aὐτός to repeat a previous subject with a second predicate is rare in Plato. Compare Crat. 390c; Hip. Min. 367c; Rep. 524a; Leg. 967b.

In the light of this pronominal use of δ αὐτός I wish to consider the much-discussed passage in Rep. 397b: καὶ ἔαν τις ἀποδιδῷ πρέπουσαν ἀρμονίαν καὶ ῥυθμὸν τῆ λέξει, ὀλίγου πρὸς τὴν αὐτὴν γίγνεται, λέγειν τῷ ὀρθῶς λέγοντι, καὶ ἐν μιᾳ ἀρμονίą—σμικραὶ γὰρ αἱ μεταβολαί—καὶ δὴ καὶ ἐν ῥυθμῷ ὡσαύτως παραπλησίῳ τινι.

The passage hinges on the interpretation of $\pi\rho\delta s \tau \dot{\eta}\nu \ a\dot{\upsilon}\tau \dot{\eta}\nu$, which the commentators uniformly regard as involving an ellipsis. Lewis Campbell suggested an original χορδήν; Schneider would supply άρμονίαν in view of the following ἐν μιậ άρμονία; Ficino understood λέξιν; so also Stallbaum, Hartmann (Notae Criticae, p. 85), Jowett, and others. In suggesting χορδήν Professor Campbell assumes that the phrase πρὸς τὴν αὐτήν became current as it stands, and was understood without reference to the immediate context, but as it occurs nowhere else this supposition must remain mere conjecture. Hartmann also objects to $\chi o \rho \delta \acute{\eta} \nu$ on the ground that, if it were understood, there would be no need of adding $\dot{\epsilon}\nu$ μιậ ἀρμονίą. This objection applies with much greater force to The use of $\pi \rho \phi s$ also in the sense here Schneider's άρμονίαν. required is exceedingly difficult, Adam's reference, "for the musical sense of $\pi \rho \phi s$ " to Eur. Alc. 346, being quite beside the point. There is the same difficulty in respect to $\pi\rho\dot{\delta}s$ $\tau\dot{\eta}\nu$ $\alpha\dot{\nu}\tau\dot{\eta}\nu$ $\lambda\dot{\epsilon}\xi\nu\nu$ — "in the same style"—for which no parallel of any kind has been found. (κατὰ τὴν αὐτὴν λέξιν, it may be remarked, could be defended.) But even granting this use of $\pi \rho \delta s$, the phrase itself is hopelessly ambiguous, and whether one word or another be supplied, it leaves the sentence as a whole incapable of straightforward interpretation.

The difficulty in the interpreting of this passage seems to have been due to a feeling that $\tau \dot{\eta} \nu$ a $\dot{\nu} \tau \dot{\eta} \nu$ must at all events be an adjective, whereas it is in reality a pronoun. It points not forward, but backward, and in its reference there is no ambiguity. The antecedent substantive can only be $\lambda \dot{\epsilon} \xi \omega$, the meaning being that

if one uses properly the style appropriate to a good man, then, with respect to the same, correct recitation comes virtually to be in one harmony and likewise in a single rhythm. $\pi\rho\delta$ s has thus its natural meaning, while for the correlation of $\kappa a \lambda$ with $\kappa a \lambda \delta \dot{\eta}$ $\kappa a \lambda$ we may compare Leg.~709c. In point of sense the interpretation permits of a straightforward statement of the two qualities that characterize the style under consideration. The repetition of $\lambda \epsilon \xi \epsilon \iota$ by $\tau \dot{\eta} \nu$ $a \dot{\nu} \tau \dot{\eta} \nu$ is also in keeping with Plato's own exuberance of expression.

J. ELMORE

STANFORD UNIVERSITY